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# Bayesian Adaptive Designs for Healthy Volunteer First in Man Studies

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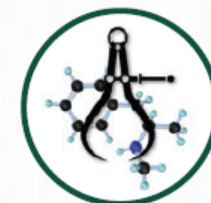
**Roche**  
*pRED*  
Development

## Methods in Clinical Pharmacology Series

# Bayesian adaptive designs in single ascending dose trials in healthy volunteers

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# Introduction

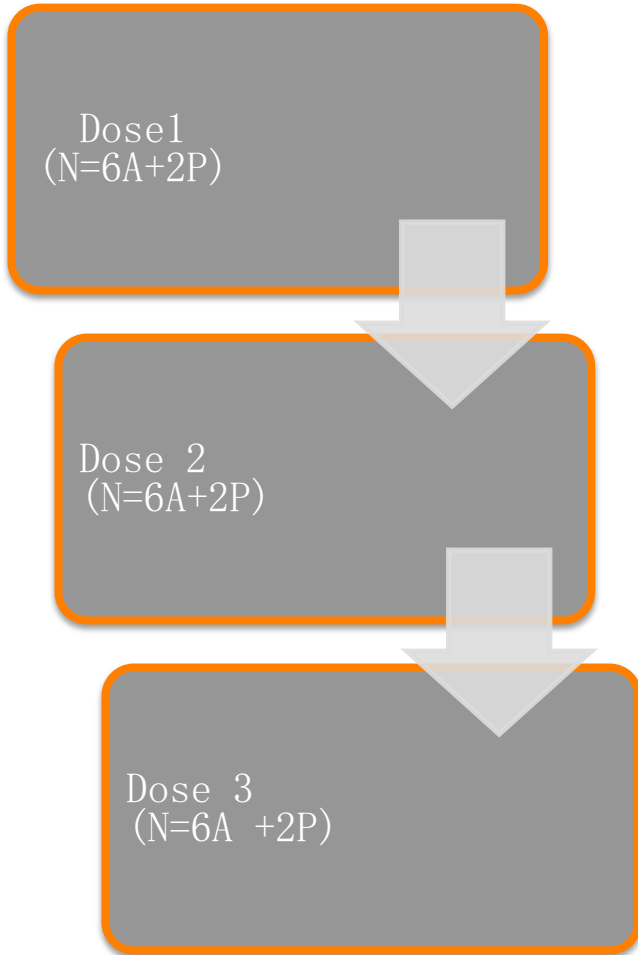
## Adaptive Designs

- use accumulating data to modify the design without introducing bias
- are quite common for oncology first in man studies
  - Increase precision of MTD estimate
  - Limit patients dosed above MTD
  - Enable faster dose-escalation
- Adaptations are driven by pre-planned statistical algorithms
- “Traditional” first in man studies are flexible but not adaptive

## Bayesian Statistics

- enable the calculation of probabilities based on the observed data and prior beliefs

# Classical sequential design



6A + 2P design – Max 8 cohorts

doses: 0, 1, 3, 9, 25, 50, 100, 200, 400

Stopping Rule: 3/6 (50%) with DLEs

- $\rightarrow$  MTD = dose before stopping

# Proposed adaptive design

3A + 1P (possibly repeated) per cohort

- Fewer subjects in low dose levels cohorts
- Potential to increase subjects at informative dose levels

Select next dose levels adaptively in order to estimate the Maximum Tolerated Dose (MTD):

- Dose where DLE rate = 30%

Stop when good precision on MTD or highest dose is safe.

# Adaptive design features

## Design:

- 3A + 1P initially
- Possible doses: 0, 1, 3, 6, 9, 20, 25, 40, 50, 75, 100, 150, 200, 300, 400

## Logistic Regression:

- Model  $p(\text{DLE})$  as function of dose

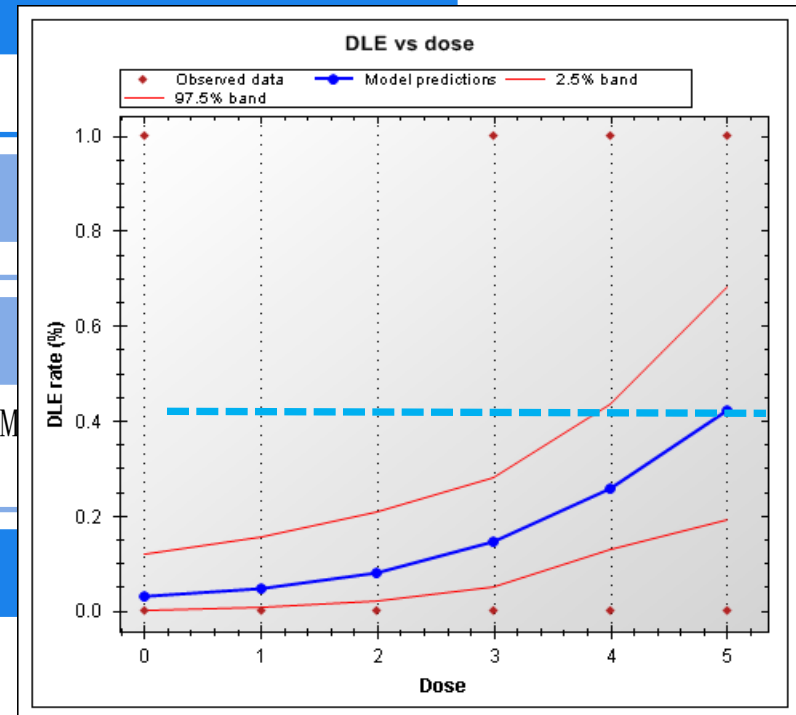
MTD is dose where  $p(\text{DLE})=30\%$

## Next dose level

- Possible dose closest to predicted MTD
- Maximum 3-fold increase in doses

Example: predicted MTD=5.8

- Current dose=1  $\rightarrow$  Next dose = 3
- Current dose=3  $\rightarrow$  Next dose = 6



# Adaptive design

## Cohort expansion & study stopping rules

### Switch from 3A+1P to 6A+2P

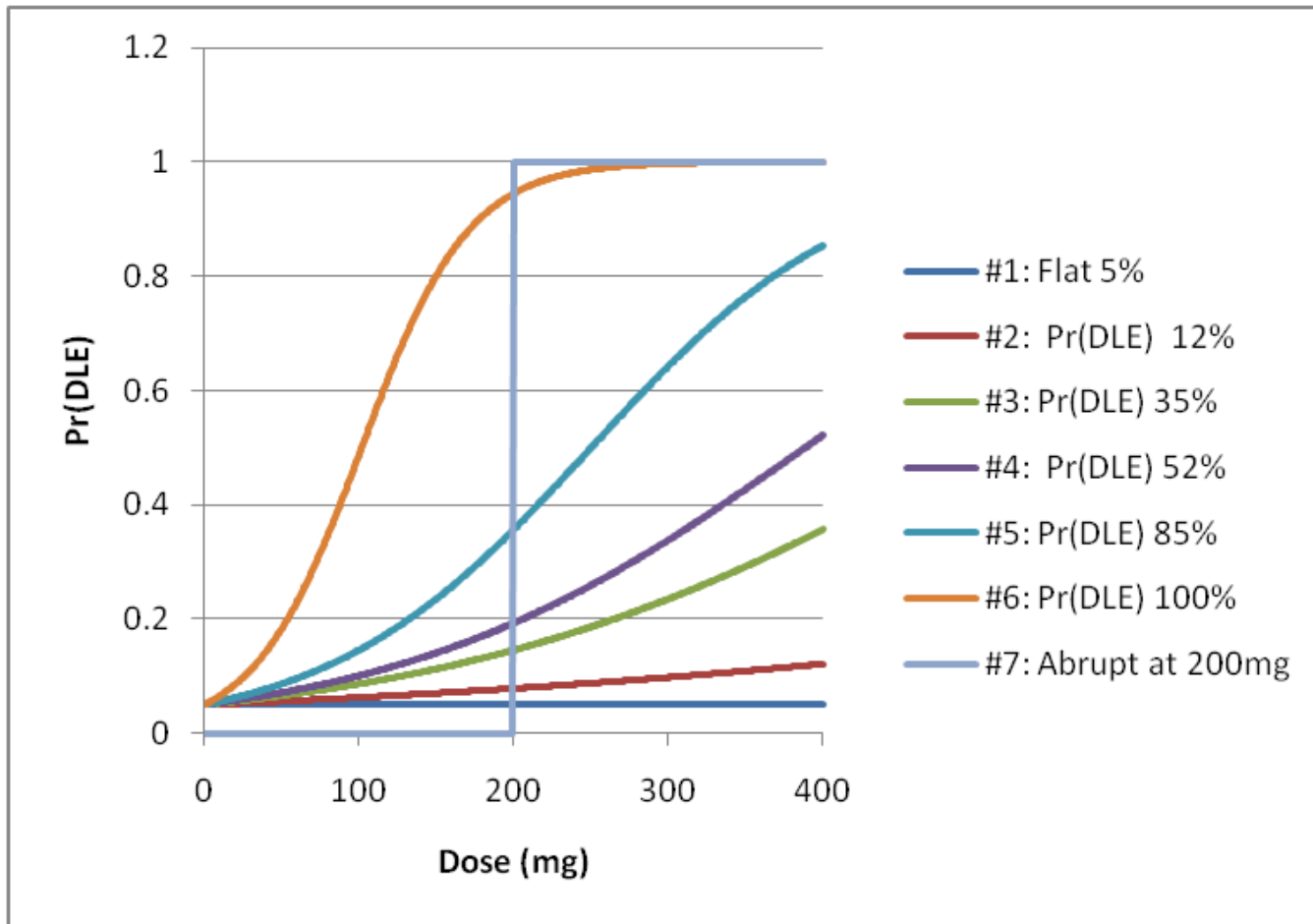
- When the next dose predicted by the model is lower than the last dose given
- In practice, we expand as soon as an MTD is found in the tested dose range.

### Stopping Rules

- MTD Found
  - Precision of MTD is strong ( $CV \leq 30\%$ ) or,
  - Any dose level is selected for the third time
- MTD not Found
  - MTD is larger than highest possible dose (400mg) with high probability ( $>80\%$ )
  - Maximum number of cohorts (16)

# Simulation scenarios

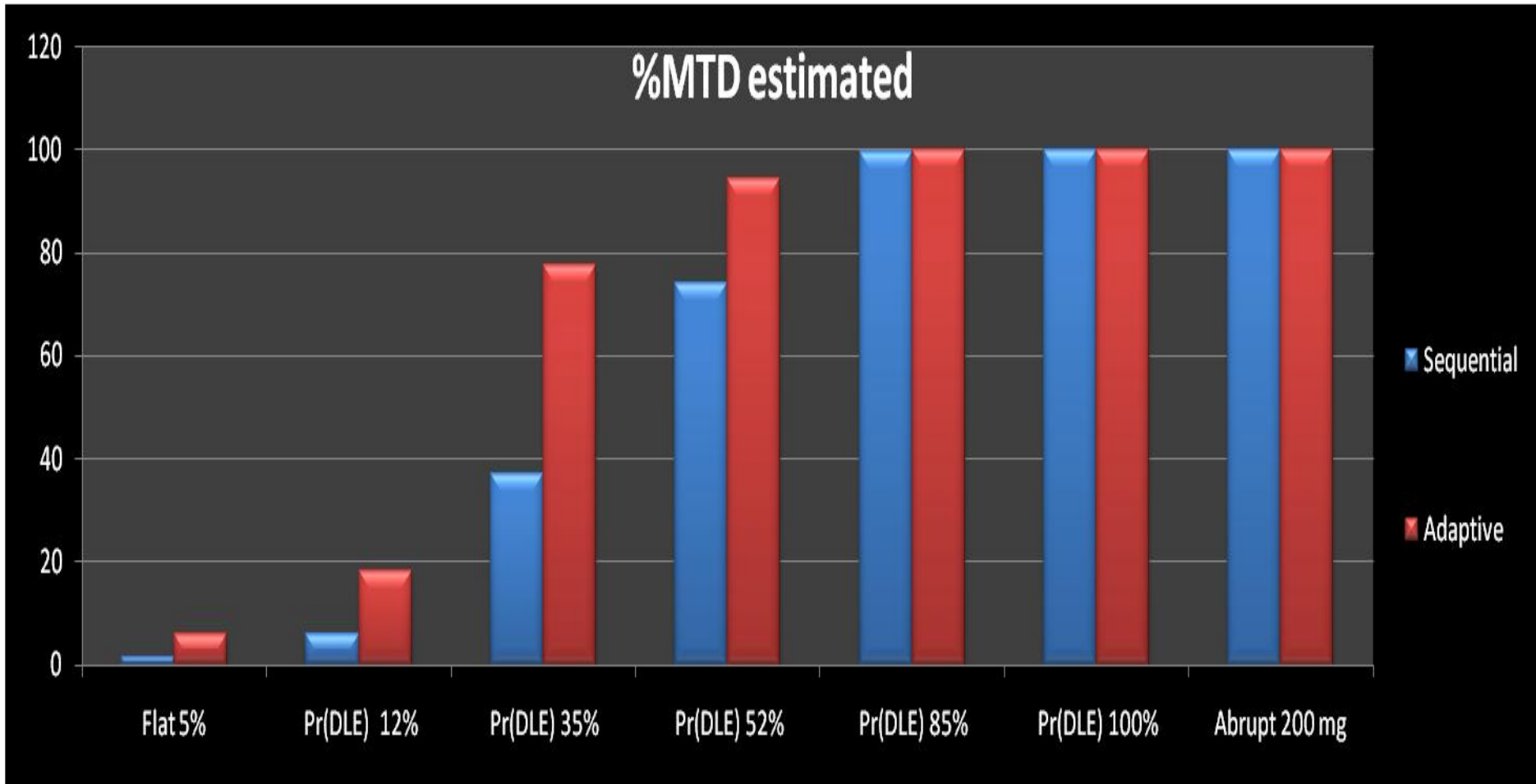
*Adaptive and sequential designs simulated for 7 scenarios*



5000 simulations for each scenario and design = 70,000 trials



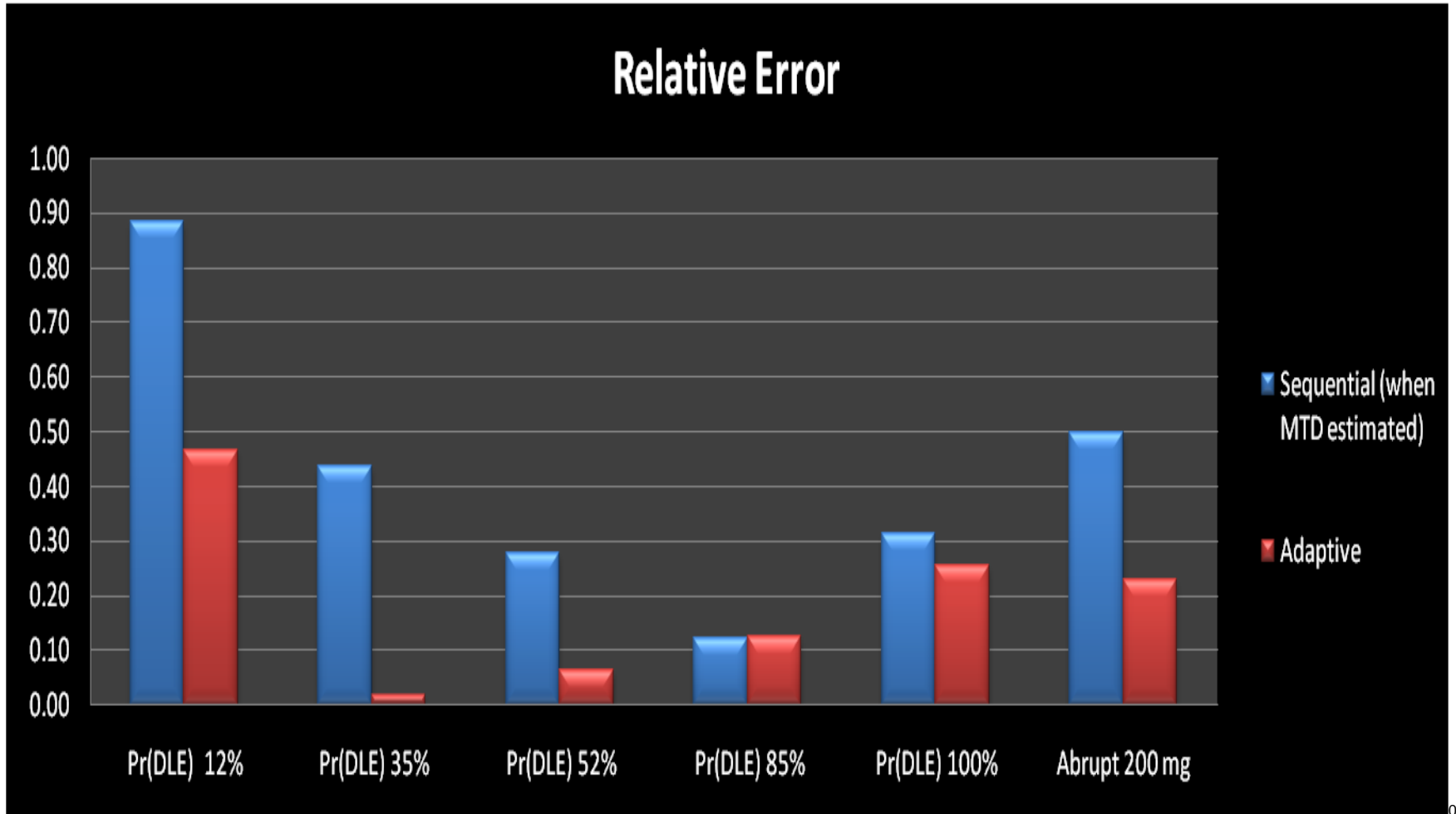
# Adaptive designs identify an MTD more often



*%MTD estimated= % studies where CV(MTD)<30% or same dose chosen for 3<sup>rd</sup> time - Larger value is better*

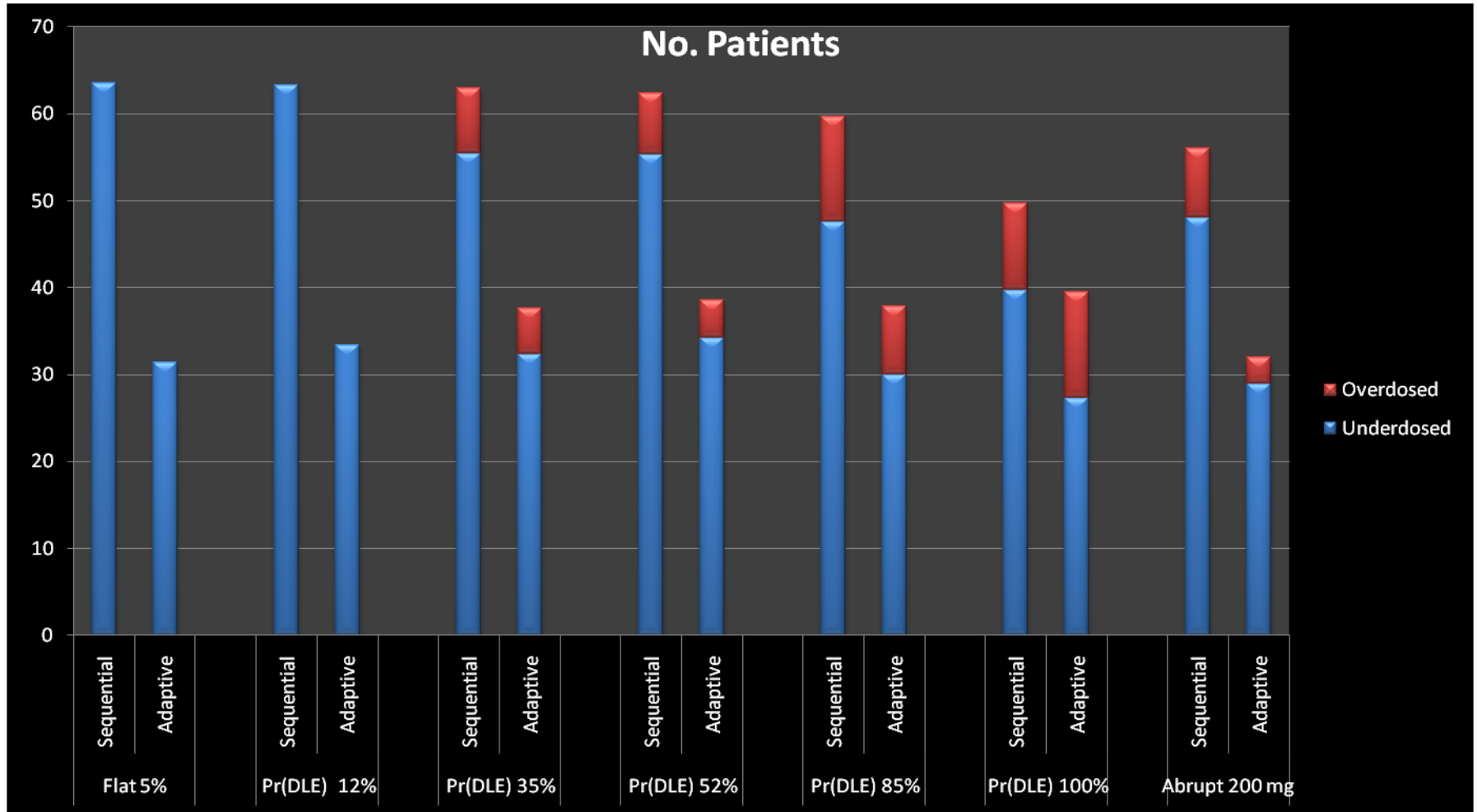
# Adaptive designs give more precise estimate of MTD

## Relative Error



Relative error = % error(estimated MTD – true MTD) - Smaller value is better

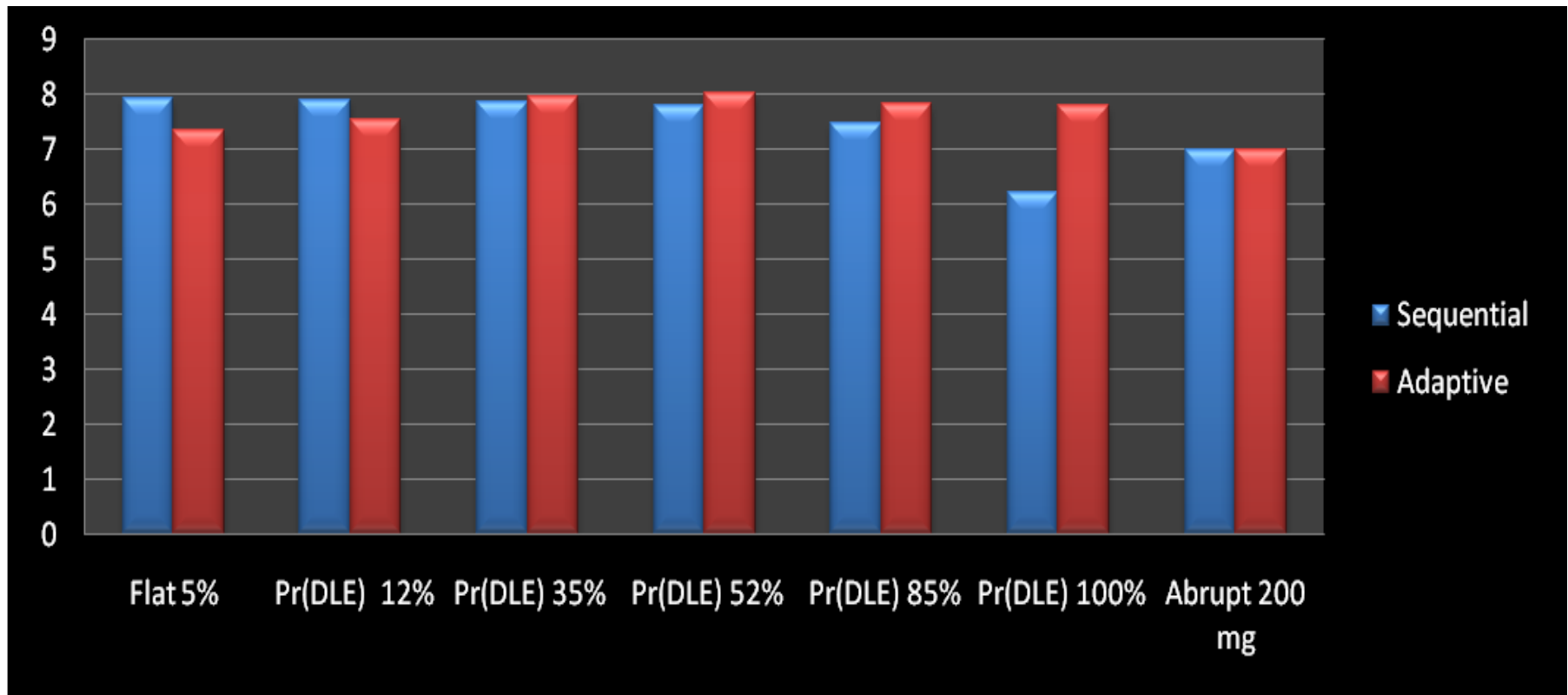
# Adaptive designs need fewer subjects and expose fewer to poorly tolerated doses



*N° Subjects= total sample size.*

*N° overdosed = Subjects dosed >true MTD - Smaller value is better*

# Adaptive and sequential designs are similar duration



*Duration= Number of dosing periods - Smaller value is better*

# Conclusion

Large-scale simulation study demonstrated the improved performance of an adaptive dose-escalation design compared to the standard approach in SAD trials

Compared to standard approach

- Better quality of MTD finding
- Decrease in number of subjects
- Comparable duration

# Next steps

## Implement

- Two adaptive SAD studies completed
- More planned
- Publications expected next year

## Simulated crossover/leap frog design

- Challenges dealing with bias from dropouts
- Publication in preparation

## Post-doc to develop methods for Bayesian adaptive MAD studies

- First publications submitted/in press

Mueller et al, J Cardiovasc Pharmacol, 2014;63:120-131